



## Payment for Order Flow

### 交易的付款

We wish to inform you, in accordance with Securities and Exchange Commission regulations, our payment for order flow practices. The determination as to where to route orders is based on several factors subject to the overriding consideration that customers receive best execution. All orders are exposed to the possibility of price movement, so that all such orders potentially can be executed at prices superior to the best bid or best offer. Payment for order flow may include any cash or non-cash compensation received by a broker dealer from another broker dealer, national securities exchange, registered securities association or exchange member in exchange for sending customer orders to such entities for execution. ROTH does not receive payment for order flow.

根据证券交易委员会的规定，我们想通知您关于我们交易操作的付款。决定向哪里下单是基于多个因素，但决定性考虑因素是让顾客的订单得到最好的执行。所有的订单可都受价格变动的影 响，以至于所有订单都有可能优于最佳买入价或最佳卖出价执行。券商的交易所得包括来自另一个经纪交易商、全国性证券交易所、登记的证券协会或者给客户发送订单至上述执行实体的交易员等应收的任何现金或非现金补偿。罗仕证券不从交易付款中收取费用。